I hereby certify that this correspondence is being deposited with the United States
Postal Service as Express mail in an envelope addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231,
On______September 12, 2001

The Law Offices of Jonathan Alan Quine

Tracie Brooks

Attorney Docket No. 02-020522US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Phillip A. Patten, et al.

Application No.: Not yet known

Filed:

For: METHODS AND COMPOSITIONS FOR POLYPEPTIDE ENGINEERING

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached PTO-1449 and 892 forms are being called to the attention of the Examiner to make of record references cited in parent application USSN 08/769,062 filed December 18, 1996. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 08/769,062 filed December 18, 1996 are not provided. Copy of 1449's from USSN 08/621,859 filed March 25, 1996 are included as well, as they were submitted in the parent application. However the applicants will gladly provided fresh copies of any references requested by the Examiner. It is respectfully requested that the cited

Application No.: Not yet known

Page 2

information on the previously submitted 1449 and 892 forms for application USSN 08/769,062 filed December 18, 1996 be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the abovenoted Deposit Account.

Respectfully submitted,

Christopher C. Sappenfield

W- (Apli)

Reg. No. 45,073

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CCS: emg



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

COPY FROM PARENT

(use as many sheets as necessary)

C	omplete if Known .
Application Number	-09/717419 unassigned.
Filing Date	November 18, 2000 massigned
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	S. Zitomer
Attorney Docket Number	-02-020520US OZ-020522US

			U.	S. PATENT DOCUMENTS		
		U.S. Patent D		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
	AA	5,427,930		Birkenmeyer et al.	06-27-1995	
	AB	5,792,607		Backman et al.	08-11-1998	ra
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				FOREIGI	N PATENT DOCUMEN	ΓS		
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Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	1
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	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T			
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Examiner	·	Date	
Signature		Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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C	omplete if Known
Application Number	-09/717419 massigned
Filing Date	November 18, 2000 Unassaned
First Named Inventor	Phillip A. Patten
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	02 020520US OZ-020572US

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Examiner Initials	Cite No.	U.S. Patent Do Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
	AA	6,596,548		Stemmer	08-01-2000	
	AB	6,117,679		Stemmer	09-12-2000	
	AC	6,132,970		Stemmer	10-17-2000	

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Examiner Initials	Cite No.	Office	Foreign Patent Docu Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
	AD	wo	98/42832		Cal Tech	10-01-1998		
	ΑE	wo	98/41653		Novo Nordisk	09-24-1998		
	AF	wo	99/29902		Cal Tech	06-17-1999		

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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	Al	KUNKEL, "Rapid and efficient site-specific mutagenesis without phenotypic selection," PNAS, 82:488-492 (Jan. 1985).	
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	AK	ZOLLER AND SMITH, "Oligonucleotide-Directed Mutagenesis: A Simple Method using Two Oligonucleotide Primers and a Single-Stranded DNA Template," Methods in Enzymology, 154:329 (1987).	
	AL	PRODROMOU et al., (1992) "Recursive PCR: a novel technique for total gene synthesis." Protein Engineering 5(8): 827-829.	
	AM	Statutory Declaration signed by Ruth Bird as filed in pending Australian opposition case, Australian Application No. 703264.	
	AN	Statutory Declaration signed by Ngaire Pettit-Young as filed in pending Australian opposition case, Australian Application No. 703264	
	AO	Statutory Declaration signed by Mae Li Gan as filed in pending Australian opposition case, Australian Application No. 703264.	

Examiner	Date	
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С	omplete if Known	
Application Number	09/717418 wassaned	
Filing Date	November 18, 2000 Wassi	gnod
First Named Inventor	Phillip A. Patten	<u>' </u>
Group Art Unit	Unassigned	مم
Examiner Name	Unassigned	.0
Attorney Docket Number	102-020520US 02-020527	ZUSU Z

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AP	HIGUCHI et al., (1988) "A genaral method of <i>in vitro</i> preparation and specific mutagensis of DNA fragments: study of protein and DNA interactions." <u>Nucleis Acids Research</u> 16(15): 7351-7367.	31050
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AR	SHULDINER, A.R. et al., (1989) "Hybrid DNA artifact from PCR of closely related target sequences," <i>Nuc. Acids Res.</i> 17(11): 4409.	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

С	omplete if Known
Application Number	-00/709,002 Unassigned
Filing Date	December 18, 1996 Unassigned
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	-92-929500UE 02-020522US

]	U.S. Patent Do	cument	S. PATENT DOCUMEN Name of Patentee or Applicant	of Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appea
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	AB	4,795,699		Tabor et al.	01-03-1989	⁴ S
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	AG	5,512,463		Stemmer	04-30-1996	
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	Al	5,514,588		Varadaraj	05-07-1996	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

C	omplete if Known
Application Number	-08/769,062 UNOSSIGNED
Filing Date	December 18, 1996 Unassianed
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	-02-020500US 02-020522US

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	1		Foreign Patent Docu	ment		Date of Publication	Pages, Columns, Lines,	_
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

COPY FROM PARENT

С	omplete if Known
Application Number	-08/769,062 Unassigned
Filing Date	December 18, 1996 Unassigned
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	02-020500US 02-020522US

СВ	EP	0 552 266	B1	The Wellcome Foundation LTD.	01-19-2000	
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 CD	EP	0 397 463	А3	Berlex Laboratories	11-14-1990	
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СМ	wo	99/29902	A1	California Institute of Technology	06-17-1999	

	,	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т				
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

COPY FROM PARENT

(use as many sheets as necessary)

C	omplete if Known
Application Number	-08/769,082 UNASSIGNED
Filing Date	-December 18, 1996 Unassigned
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	02-020500US 02-D2D522US

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CZ	KLUG ET AL. "Creating chimeric molecules by PCR directed homogous DNA recombination." Nuc Acids.Res. 19(10):2793 (1991)	
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DD	Kunkel "Rapid and Efficient Site-Specific Mutagenesis without Phenotypic Selection." Methods in Enzmology 154:367-382 (1987)	
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, DO	CANDULLET AL "Duel Asymmetric DCD: One Ston Construction of Synthetic Genes"	

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Examiner	Date	
Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

С	omplete if Known
Application Number	-08/769,062 UNOUS 19 Ned
Filing Date	December 18, 1996 Massigned
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	02 020500US 02-020522US

DP	SHI ET AL "Rapid PCR Construction of a Gene Cotaining Lym-1 Antibody Variable Regions." PCS Meth. & Appl. 3:46-52 (1993)	
DQ	SHULDINER ET AL. "Hybrid DNA artifact from PCR of closely related target sequences." Nus. Acids Res. 17(11):4409 (1989)	
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DU	Statutory Declaration by Ruth Bird No. 703264	
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DZ	WU ET AL. "Allale-specific enzymatic amplification of β-globin genomis DNA for diagnosis of sickle cell anema." Proc. Natl. Acad. Sci Vol 86:2757-2760. (1999)	
EA	ZOLLER & SMITH "Oligonucleotide-Directed Mutagenesis: A Simple Method using Two Oligonucleotide Primers and a Single-Stranded DNA Template." <i>Methods in Enzymology</i> 154:329-347 (1987)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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C	complete if Known
Application Number	08/769,082 Unassaned
Filing Date	December 18, 1996 Mas And
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attorney Docket Number	02 020500US 07-0205 22US

Examiner Cite No.		U.S. Patent Document		S. PATENT DOCUMENTS Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal		
	AA	5,665,859		Wallach et al.	09-09-1997	0
	AB	5,741,694		Hasrtup et al.	04-21-1998	PT P
	40	5 750 373		Garrard et al	05-12-1998	s. 8
	AD	5,427,930		Birkenmeyer et al.	06-27-1995	n 0
	ΑE	5,792,607		Backman et al.	08-11-1998	105

	Fo	reign Patent Docu	iment		Date of Publication	Pages, Columns, Lines,	l _
Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	igsqcup
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Complete if Known Substitute for form 1449A-B/PTO -08/769,062 Massian **Application Number** December 18, 1996 U Filing Date INFORMATION DISCLOSURE Phillip A. Patten First Named Inventor STATEMENT BY APPLICANT 1634 Group Art Unit COPY FROM PAR **Examiner Name** Zitomer, S. -02-020500US OZ-OZO5ZZV Attorney Docket Number U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Date of Fiblication of Pages, Columns, lines U.S. Patent Document Where Relevant Passages Cited Document Cited Document Kind Code Examiner Cite Number or Relevant Figures Appeal MM-DD-YYYY Initials of known) 11/02/1999 5,976,862 Kauffman et al. AA FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages Date of Publication Foreign Patent Document Kind Code Name of Patentee or of Cited Document Cite Examiner or Relevant Figures Appear (if known) Applicant of Cited Document MM-DD-YYYY Office Number Initials No OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, Examiner Cite serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Initials No.

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Application Number	08/769,062 massigned				
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First Named Inventor	Phillip A. Patten				
Group Art Unit	1634				
Examiner Name	Zitomer, S.				
Attorney Docket Number	-02-020500U3-02-020522US				

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	AO	wo	92/07075		Wellcome Foundation Ltd.	04-30-1992		
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	AT	wo	93/16192		Wellcome Foundation Ltd.	08-19-1993		

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First Named Inventor	Phillip A. Patten			
Group Art Unit	1634			
Examiner Name	Zitomer, S.			
Attorney Docket Number	02-020500US 02-020522US			

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	ВА	Goldman, E.R. and Youvan D.C., (1992) Bio/Technology 10:1557-1561	-	
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	вн	Nissim et al., (1994) ENBO J <u>13</u> :692-698	\perp	
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	BJ	Klug et al., (1991) Nucleic Acids Res. <u>19</u> (10):2793		
	ВК	Daugherty et al., (1991) Nucleic Acids Res. <u>19</u> :2471-2476	_	
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	во	Mullis et al., (1986) Cold Harbor Spring Symposia on Quantitative Biology 51:263-273		
	ВР	Sikorski et al., (1991) Methods in Enzymology 194:302-318	_	
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Filing Date	December 18, 1996 Massigned		
First Named Inventor	Phillip A. Patten		
Group Art Unit	1634		
Examiner Name	Zitomer, S.		
Attorney Docket Number	-02-020500US- 02-020522US		

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BS	Saiki et al., (1988) Science 239:487-491	
вт	Saiki et al., (1986) Nature <u>324</u> (13):163-166	_
BU	Saiki et al., (1985) Science <u>230</u> :1350-1354	
BV	Saiki et al., (1988) New Eng J Med <u>319</u> (9):537-541	<u> </u>
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BX ·	Villareal XC et al., (1991) Anal Biochem. <u>197</u> (2)362-367	-
BY	Jones et al., (1991) <i>Biotechniques</i> <u>10</u> (1):62-66	;
BZ	Weissenhorn et al., (1991) Gene 106(2):273-277	
CA	Majumder et al., (1992) Gene 110(1):89-94	
СВ	Yao et al., (1992) PCR Methods Appl <u>1</u> (3):205-207	1
СС	Atreya et al (1992) Plant Mol Biol. 19(3):517-522	
CD	Zoller et al., (1992) Curr Opin Biotechnol. <u>3</u> (4):348-354	4-
CE	Heda et al., (1992) Nucleic Acid Res. <u>20</u> (19):5241-5242	
CF	Horton et al., (1993) Methods Enzymol <u>217</u> :270-279	
CG	The whys and wherefores of DNA amplification. Biotechnology (NY). 1993 Aug; <u>11</u> (8):940-942	
СН	Clackson et al., (1991) Nature <u>352</u> (6336)624-628	
CI	Smith et al., (1993) PCR Methods Appl. <u>2</u> (3):253-257	
CJ	Weisberg et al., (1993) <i>Biotechniques</i> <u>15</u> (1):68-70, 72-4, 76.	_
СК	Marks, et al., (1991) J Mol Biol 222(3):581-597	_
CL	Harlow et al., (1994) Methods Mol Biol <u>31</u> :87-96	
СМ	Yon et al., (1989) Nucleic Acids Res. <u>17</u> (12)4895	
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СО	Horton et al., (1990) Biotechniques 8(5):528-535	_
СР	Osuna et al. (1991) Gene. 106:7-12	
ca	Dube et al. (1991) Biochemistry <u>30(51):11760-7</u>	
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cs	Paabo et al., (1990) J Biol Chem <u>265(8):4718-4721</u>	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

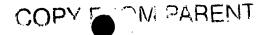
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Application Number -08/769,062 UNASSIGNO				
Filing Date	December 18, 1996 unassigned			
First Named Inventor	Phillip A. Patten			
Group Art Unit	1634			
Examiner Name	Zitomer, S.			
Attorney Docket Number	-02 020500US OR-OZDS ZZUS			

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First Named Inventor	Phillip A. Patten			
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Examiner Name	Zitomer, S.			
Attorney Docket Number	02 020500US 02-020522US			

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	CV	5,958,672		Short	09-28-1999	OT
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Filing Date	- December 18, 1996 Massignes
First Named Inventor	Phillip A. Patten
Group Art Unit	1634
Examiner Name	Zitomer, S.
Attomey Docket Number	02-020500US OZ-0205 ZZUS

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Initials	No.	US 5,565,332	A	Hoogenboom et al.	10/15/96	See entire document	25
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		GB	2,183,661	Α	Ballivet et al.	06/10/87	See entire document	
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: 18 Applicant: Stemmer et a		Application No	massigne
			Filing Date: March 25, 1996		Group: 1634	
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AA	5,824,485	10/20/98	Thompson et al.			
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AD	WO 98/42832	10/1/98	PCT			
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Attorney Docket No.: 1809 22 0205 221 Application No.: 08.621.54 FORM PTO-1449 (Modified) Applicant: Stemmer et al. LIST OF PATENTS AND PUBL APPLICANT'S INFORMATION DISCLOSURE Filing Date: March 25, 1996 Group: 1634 STATEMENT (Use several sheets if necessary) P:~_ U.S. PATENT DOCUMENTS Reference Designation Sub-class Filing In Class Name Date Document No. **Examiner Initial** (PADO -^A~ 05/31/94 Amold et al. 5,316,935 AA 8 S Stemmer et al. 5,834,252 11/10/98 AB 28 Minshull et al. 11/17/98 5,837,458 AC FOREIGN PATENT DOCUMENTS Training Sub-class Class Date Country Document No. (Yes N **PCT** 05/30/91 WO 91/07506 AD COPY FROM PARENT **PCT** 10/17/91 WO 91/15581 AE **PCT** 10/31/91 WO 91/16427 AF **PCT** WO 95/22625 08/24/95 AG PCT WO 96/33207 10/24/96 AH OTHER ART (Including Author, Title. Date, Pertinent Pages. Etc.) Balint et al., "Antibody Engineering By Parsimonious Mutagenesis", Gene, 137(1):109-118 (1993) ΑI Bartel et al., "Isolation of New Ribozymes From a Large Pool of Random Sequences", Science, 261:1411-1413 ΑJ (1993)Crameri et al., "Combinatorial Multiple Cassette Mutagenesis Creates All The Permutations Of Mutant And ΑK Wild-Type Sequences", Biotechniques, 18(2):194-196 (1995) Crameri et al., "Improved Green Fluorescent Protein By Molecular Evolution Using DNA Shuffling", Nat. AL Biotechnol., 14(3):315-319 (1996) (previously submitted) Crameri et al., "Construction And Evolution Of Antibody-Phage Libraries By DNA Shuffling", Nat. Med. AM 2(1):100-102 (1996) (Previously Submitted) Crameri et al., "Molecular Evolution Of An Arsenate Detoxification Pathway By DNA Shuffling", Nat. AN Biotechnol., 15(5):436-438 (1997) Crameri et al., "DNA Shuffling Of A Family Of Genes From Diverse Species Accelerates Directed Evolution". AO Nature, 391(3664):288-291 (1998) Gates et al., "Affinity Selective Isolation Of Ligands From Peptide Libraries Through Display On A Lac Repressor 'Headpiece Dimer'", J. Mol. Biol., 255(3):373-386 (1996) Epreviously submitted AP Gram et al., "In Vitro Selection and Affinity Maturation of Antibodies From a Naïve Combinatorial AQ Immunoglobulin Library", Proc. Natl. Acad. Sci. USA, 89:3576-3580 (1992) Near, "Gene Conversion Of Immunoglobulin Variable Regions In Mutagenesis Cassettes By Replacement PC. AR Mutagenesis", Biotechniques. 12(1):88-97 (1992) Perlak, "Single Step Large Scale Site-Directed In Vitro Mutagenesis Using Multiple Oligonucleotides", Nuclea AS Acids Res., 18(24):7457-7458 (1990) Stemmer, "Searching Sequence Space", Biotechnology, 13:549-553 (1995) (previously Sub mitted) ΑT Stemmer et al., "Single-Step Assembly Of A Gene And Entire Plasmid From Large Numbers Of AU Oligodeoxyribonucleotides". Gene, 164(1):49-53 (1995) (previously Submitted) Stemmer, "The Evolution of Molecular Computation", Science, 270(5241):1510 (1995) (previously submitted ΑV Stemmer, "Sexual PCR and Assembly PCR" Encyclopedia Mol. Biol., VCH Publishers, New York, pp. 447-57 AW (1996)Weber et al., "Formation of Genes Coding for Hybrid Proteins by Recombination Between Related, Cloned Graes AXin E. Coli," Nucleic Acids Research, 11(16):5661-5669 (1983) Weisberg et al., "Simultaneous Mutagenesis Of Multiple Sites: Application Of The Ligase Chain Reaction U=2 AY PCR Products Instead Of Oligonucleotides", BioTechniques, 15(1):68-76 (1993)

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AD	5,723,323	03/03/98	Kauffman et al.			- 28 = 3
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FORM PTO-1449 (Modified)

Attorney Docket 018097-014612US OL-OUS LIUS Serial No.:

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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March 25, 1998 CWQ58x

Applicant: Stemmer and Crameri

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

STATEMENT (Use several sheets if necessary) Attorney Docket No.

Serial No.: -18097-02050002-020522U\$ 08/769,062 WAS&

Applicant: PHILLIP A. PATTEN et al.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1449.WP 4/95

FORM PTO-1449 (Modified) Attorney Docket No Serial No.: 18097-020500 08/769,062 WUQSSI OC DOOS ZZUS LIST OF PATENTS AND PUBLICATIONS FOR Applicant: PHILLIP A. PATTEN et al. APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Filing Date: -12/18/96. Group: Unknown unassigned Reference Designation U.S. PATENT DOCUMENTS Examiner Document No. Date Name Class Sub-Filing Date Initial class (If Appropriate) , AA 5,525,486 06/11/96 Honjo et al. C12N 15/00 AB 5,565,332 10/15/96 Hoogenboom et al. 435 69.1 AC 902 FOREIGN PATENT DOCUMENTS Document No. Date Country Class Sub-Translation class (yes/no) AD AE OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) AF Cadwell et al., "RANDOMIZATION OF GENE BY PCR MUTAGENESIS" Research, PCR Methods and Applications, pp. 28-33 (1992) _ AG Crameri et al., "CONSTRUCTION AND EVOLUTION OF ANTIBODY-PHAGE LIBRARIES BY DNA SHUFFLING" Nature Medicine 2(1):100-102 (1996) AH Mandecki et al., "FOKI METHOD OF GENE SYNTHESIS (RECOMBINANT DNA; OLIGODEOXYRIBONUCLEOTIDE; BRIDGE MUTAGENESIS; CLONING; GENE ASSEMBLY; HIV) Gene 68:101-107 (1988) __AI Matthews et al., "SUBSTRATE PHAGE: SELECTION OF PROTEASE SUBSTRATES BY MONOVALENT PHAGE DISPLAY" Science 260:1113-1117 (1993) AJ O'Neil et al., "PHAGE DISPLAY: PROTEIN ENGINEERING BY DIRECTED EVOLUTION" Current Opinion in Structual Biology 5:443-449 (1995) _ AK Reikofski et al., "POLYMERASE CHAIN REACTION (PCR) TECHNIQUES FOR SITE-DIRECTED MUTAGENESIS" bIOTECH. aDV. 10:535-547 (1992)

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